

22 Abstract

23 Recently, a large quantity of carbon nanotubes (CNTs) enters the environment due
24 to the increasing production and applications. More and more researches are focused
25 on the fate and possible ecological risks of CNTs. Some literatures summarized the
26 effects of CNTs on the chemical behavior and fate of pollutants. However, little
27 reviewed the effects of CNTs on the biodegradation of pollutants. In general, the
28 effects of CNTs on the biodegradation of pollutants and the related mechanisms were
29 summarized in this review. CNTs have positive or negative effects on the
30 biodegradation of contaminants by affecting the functional microorganisms,
31 enzymes and the bioavailability of pollutants. CNTs may affect the microbial growth,
32 activity, biomass, community composition, diversity and the activity of enzymes.
33 The decrease of the bioavailability of pollutants due to the sorption on CNTs also
34 causes the reduction of the biodegradation of contaminants. In addition, the roles of
35 CNTs are controlled by multiple mechanisms, which are divided into three aspects
36 i.e., properties of CNTs, environment condition, and microorganisms themselves. The
37 better understanding of the fate of CNTs and their impacts on the biochemical
38 process in the environment is conducive to determine the release of CNTs into the
39 environment.

40 **Keywords:** Carbon nanotubes; Microorganism; Enzyme; Biodegradation;
41 Bioavailability

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62

63 **1. Introduction**

64 In the past decades, the environment and the ecology problems are increasingly
65 outstanding. A variety of contaminants from natural or artificial resources will
66 threaten human health and environmental security (Sarkar et al., 2018). Therefore, it
67 is essential to take some measures to deal with these problems. Conventional
68 technologies for cleaning up the contamination can be divided into physical,
69 chemical and biological methods, such as adsorption/reduction, filtration, biological
70 mineralization, oxidation/precipitation (Liu et al., 2016; Yang et al., 2018). Among
71 of various ways, the biological methods should be environmentally friendly,
72 low-cost and less hazardous by-product way to remove environmental pollutants,
73 especially for organic matters (Liu et al., 2018b; Shao et al., 2017). The efficiency of
74 biodegradation can be affect by many factors, such as the condition for microbial
75 growth and reproduction and the degree of refractory degradation of pollutants etc.
76 Some factors can impact microbial properties while the others can influence the
77 transport of contaminants to the microorganisms (Huang et al., 2016).

78 CNTs are quite promising nanomaterials with superior physic-chemical properties,
79 which have received great attention owing to their widespread application. For
80 example, CNTs possess excellent sorption capability due to the large surface area. It
81 makes them be used as adsorbents for removing contaminants in environmental (Hua
82 et al., 2017; Yang et al., 2017). Besides, CNTs can also be found in other fields, such
83 as biomedicine and biosensor(De Volder et al., 2013; Landry et al., 2017; Shamay et

84 al., 2018; Yang et al., 2014). With increasing applications and production, CNTs are
85 released into the natural environment as aggregates, composite particles, or
86 dispersions by accident and direct acting. For example, CNTs could be released
87 during the whole life cycle of polymer nanocomposite. The manufacture, use and
88 disposal of CNTs-incorporated nanocomposite have the potential to release CNTs.
89 Nanocomposite can also release engineered nanoparticles including CNTs during
90 incineration or accidental fires (Petersen et al., 2011). The concentration of CNTs in
91 the soil has reached $0.01\text{-}3\mu\text{g}\cdot\text{kg}^{-1}$. And due to contaminated surface water, the
92 concentration of CNTs in sediment has reached $0.8\mu\text{g}\cdot\text{kg}^{-1}$.(Chen et al., 2016;
93 Glomstad et al., 2016; Ming et al., 2017). So it is inevitable for living organisms and
94 human exposed to CNTs. Some studies about the multifarious effects of CNTs to
95 human and environment have been reported (Amiri et al., 2016). It is not hard to
96 image that CNTs might also have some effects on the biodegradation process. In fact,
97 there are already some relevant researches published. However, little literatures
98 reviewed the effects of CNTs on biodegradation of pollutants in environment.

99 Biodegradation is a feasible and common way to treat pollutants. It is beneficial
100 to avoid the adverse effects of CNTs on biodegradation of pollutants and make full
101 use of the excellent properties of CNTs. Besides, this is of great significance for
102 environmental protection. CNTs may interfere with the biodegradation process by
103 three approaches. Firstly, CNTs can change the biodegradation of pollutants by
104 increasing or inhibiting microbial growth. Secondly, CNTs can adsorb the pollutants
105 due to their excellent adsorption capacity. Subsequently, the biodegradation

106 efficiency can be decreased with the decrease of bioavailability attributing to
107 adsorption of CNTs. Thirdly, CNTs can interact with degradation enzymes thus
108 affecting the biodegradation process (Glomstad et al., 2016; Ming et al., 2017).The
109 results of CNTs participated in the biodegradation process are often multifaceted.
110 Although most of studies have been published on the negative effects of CNTs.
111 CNTs have also been found to have positive effects on biodegradation in some cases.
112 And the negative effects of CNTs can generally be regulated by various factors(Table
113 1).

114 In this review, previous studies related to the effects of CNTs on the
115 biodegradation of pollutants were summarized, including effects on microorganisms,
116 enzymes and pollutants. Versatile microorganisms react differently to CNTs with
117 different properties. It depends on the properties of CNTs, the environment and the
118 microbes themselves. Some microbial enzymes also have the function on degrading
119 pollutants. Their activity can be affected by the addition of CNTs. Besides, the
120 adsorption of pollutants by CNTs can also affect the biodegradation process, which
121 is due to the change of bioavailability.

122 **2. Effects of CNTs on microorganisms**

123 **2.1 Properties of microorganisms with addition of CNTs**

124 **2.1.1 microorganisms in soils**

125 After CNTs enter into environment, soil may become the final recipient of
126 CNTs(Shrestha et al., 2013).Soil microorganisms can act as indicators of soil quality

127 and govern the mineralization of pollutants and nutrient cycling(Hao et al., 2017).
128 Owing to the accumulation of CNTs in soil, it is possible for CNTs disturbing
129 microbial community and affecting some important microbial process including
130 mineralization of pollutants. Soil microbial biomass is one sensitive indicator of
131 contamination disturbance like heavy metals and nanomaterials. A number of studies
132 showed that microbial biomass and microbial biomass C:N altered after exposure to
133 CNTs. Jin et al. observed that microbial biomass C decreased with 300 μg powder
134 form SWCNTs (single-walled carbon nanotubes) g^{-1}soil or more than 600 μg
135 suspended form SWCNTs g^{-1}soil . High concentration of SWCNTs (600 $\mu\text{g}\cdot\text{g}^{-1}$ soil
136 and 1000 $\mu\text{g}\cdot\text{g}^{-1}$ soil) also decreased microbial N and microbial biomass C:N(Jin et al.,
137 2013). Chen et al. similarly showed that first exposure to SWCNTs
138 (100,200,500 $\mu\text{g}\cdot\text{g}^{-1}$ soil) or MWCNTs (100,500,1000 $\mu\text{g}\cdot\text{g}^{-1}$ soil) had negative effects
139 on biomass C. MWCNTs had minor effects than SWCNTs. Interestingly, 500 μg
140 SWCNTs g^{-1} soil significantly increased microbial biomass C (Chen et al., 2015b).
141 Another research investigated the effects of MWCNTs on two types of soil. At both
142 of site1 and site2, 5000 μg MWCNTs g^{-1} soil lowered microbial biomass C and N.
143 However, no significant effects can be found with MWCNTs at concentration of 50
144 or 500 $\mu\text{g}\cdot\text{g}^{-1}$ soil(Chung et al., 2011). In another study, except for 500 $\text{mg}\cdot\text{kg}^{-1}$ soil of
145 C_{60} (fullerene)increased the microbial biomass C, rGO(reduced graphene oxide) and
146 MWCNTs had no significant effects on biomass C at 50 and 500 $\text{mg}\cdot\text{kg}^{-1}$ soil(Hao et
147 al., 2017).In general, it can concluded that the effects of CNTs on microbial biomass
148 have an positive correlation with concentration. At moderate concentration, CNTs

149 have no or little effects. When the concentration is high enough, CNTs may have
150 negative effects on microbial biomass. However, these studies were conducted with
151 CNTs in short incubation period. Tong et al. suggested the microbial biomass had no
152 significant changes with repeated addition of SWCNTs after 6 week incubation(Tong
153 et al., 2012). CNTs may affect microbial function by effects on specific
154 microorganism population. Several studies investigated the effects of CNTs on
155 microbial process like nitrogen cycle. Nitrogen cycle is a crucial microbial process
156 and have significant relevance to water quality. Nitrification and denitrification play
157 important roles on nitrogen cycle. In one research, at first exposure to CNTs, CNTs
158 suppressed the net N nitrification. Afterward, CNTs stimulated the net N
159 nitrification . However, in the end of incubation, no clear effects can be found with
160 exposure to CNTs except for positive effects of 500 μ g SWCNTs g⁻¹ soil and 1000 μ g
161 MWCNTs g⁻¹ soil. At the same time, the effects of CNTs on ammonium-oxidizing
162 archaea and ammonium-oxidizing bacteria were observed. The first addition of
163 CNTs had negative effects on abundance of two ammonium-oxidizing
164 microorganisms. Although in the end of incubation, the addition of CNTs had similar
165 results with the control. And repeated exposure of CNTs had positive effects on
166 Shannon-Wiener index(Chen et al., 2015a). This suggested that experiments with
167 long incubation time was necessary because the effects of first exposure and
168 repeated exposure may be different. By altering the bacterial community
169 composition, the carbon cycling can be also affected by CNTs. Hao et al. indicated
170 that the relative abundance of *Proteobacteria* declined with treatment of MWCNTs.

171 At the class level, relative abundance of two dominate bacteria within
172 *Proteobacteria* also decreased. However, the major species remained dominant in
173 community (Hao et al., 2017). Moreover, Khodakovskaya et al. found that CNTs
174 resulted in two opposite response of different bacteria. Relative abundance of several
175 bacteria increased while some other bacteria had decreased relative abundance with
176 the treatment of CNTs(Khodakovskaya et al., 2013).This may be correlated with the
177 microbial tolerance to CNTs. Some microbes have stronger tolerance and
178 adaptability. Several factors govern the toxicity of CNTs to microorganism would be
179 discussed in next section.

180 **2.1.2 microorganisms in wastewater**

181 When dispose municipal and industrial wastewater, activated sludge process is
182 the most commonly used biological process. Activated sludge is the sum total of
183 microorganism population and the organic and inorganic matter they are attached to.
184 Microorganisms in activated sludge play a vital role in degradation and conversion
185 of pollutants(Hai et al., 2014). Since their hydrophobicity, CNTs are easily to
186 aggregate and adsorb to active sludge. The interaction of CNTs and activated sludge
187 can lengthen the retention time of CNTs in sludge. Thus, CNTs have possibility to
188 induce chronic toxicity to microorganisms(Luongo and Zhang, 2010). The toxicity of
189 CNTs to microorganisms may lead to some negative effects on activated sludge
190 process. For example, the treatment efficacy of activated sludge process may be
191 decreased. The possibility of discharging untreated sewage increased. A number of

192 pathogenic microbes and CNTs can find their way into environment(Goyal et al.,
193 2010). In general, effects of CNTs on wastewater treatment process including effects
194 on properties and treatment efficacy of activated sludge, effects on microorganisms.
195 Hai et al. found that the average total nitrogen removal proportion was not clearly
196 affected by 1 mg•L⁻¹of MWCNTs. But under 20 mg•L⁻¹of MWCNTs, ammonia
197 oxidation declined. The concentration of NH₄⁺-N in effluent increased. The average
198 total nitrogen removal efficiency decreased in this condition. Moreover, both of 1
199 mg•L⁻¹ and 20 mg•L⁻¹ of MWCNTs resulted in poor average phosphorus removal
200 efficiency(Hai et al., 2014). CNTs can also have effects on anaerobic digestion
201 process. Anaerobic digestion process including several steps: hydrolysis,
202 acetogenesis, methanogenesis and etc. Suppression of anyone step would lead to the
203 decrease of end product. For example, Yadav et al. observed the decrease of volatile
204 fatty acid (VFA) in all groups treatment with MWCNTs. Accordingly, the production
205 of biogas decreased in different extents with 1or 100 mg•L⁻¹MWCNTs(Yadav et al.,
206 2009). However, there was a contrast result. Li et al. showed a much quickly
207 utilization of substrate and higher removal rate of COD(Chemical Oxygen Demand)
208 with addition of SWCNTs. And the production of CH₄ was much faster. Although the
209 maximum CH₄ volume in reactors exposure to SWCNTs had no significant
210 difference with the control(Li et al., 2015). Several research indicated that CNTs had
211 positive effects on the removal of COD by adsorption in short term. However,
212 long-term exposure to CNTs would result in accumulation of CNTs in sludge. The
213 toxic effects of CNTs to microorganisms increased. Thus, the removal of COD can

214 be inhibited with long-term exposure to CNTs(Hai et al., 2014).The conductivity of
215 sludge can be altered by SWCNTs. Activated sludge had less negative charge with
216 exposure to SWCNTs(Yin and Zhang, 2008).And it was suggested that the
217 settleability of sludge improved by CNTs. On the one hand, the interaction between
218 CNTs and sludge made density of flocs be increased. On the other hand, the relative
219 abundance of microbes related to the flocculation of activated sludge increased(Qu et
220 al., 2015). The relative abundance of microbes responsible for sludge bulking
221 decreased(Hai et al., 2014). In fact, CNTs may affect the treatment efficacy of
222 wastewater treatment system by impacts on microbes. Qu et al. showed that relative
223 abundance of *Rudaea* increased with exposure to SWCNTs. *Rudaea* was regarded as
224 potential degradation bacteria for aromatics and can degrade cellulose. Therefore,
225 SWCNTs may improve the degradation of aromatic. In fact, the study suggested that
226 the removal of phenol increased after addition of SWCNTs especially in the early
227 stage(Qu et al., 2015). Yadav et al. observed that decrease of production of biogas
228 was due to damage of acidogenic and acetogenic microbes by MWCNTs. In
229 summary, the positive or negative effects of CNTs on activated sludge process
230 seemingly related to impacts on microorganism population.

231 **2.1.3 microorganisms in other conditions**

232 The interaction between CNTs and microorganisms in culture medium is not
233 complicated like in natural environment. Qu et al. found that with nothing act as
234 carbon source, the death rate of *Dyella ginsengisoli* LA-4 was related to the

235 concentration of CNTs in aqueous medium. When biphenyl served as carbon source,
236 each of 1.5 mg•L⁻¹ SWCNTs, 1.5 mg•L⁻¹ SWCNTs-COOH and 1 mg•L⁻¹ MWCNTs
237 stimulated the growth of *Dyella ginsengisoli* LA-4. However, this kind of
238 stimulation function was not reflected in the degradation of biphenyl(Qu et al., 2016).
239 It might indicate that CNTs enhance or inhibit biodegradation by balancing two
240 effects: the toxicity of CNTs to microorganisms and effects on bioavailability of
241 pollutants(Zhang et al., 2015).

242 Some carbonaceous materials including CNTs are often used as sorbents for
243 sediment remediation. These sediments may be contaminated by organic pollutants,
244 and heavy metals. In this environment consist of CNTs, pollutants and microbes, the
245 interaction between them would be critical for remediation. In a fresh water sediment
246 contaminated by crude oil, the total abundance of microbes was increased by
247 amendment with 0.1% CNTs. 0.5% and 1% CNTs increased the abundance of
248 microbes in higher concentration of crude oil. It may be due to that CNTs can adsorb
249 hydrocarbons and microbes simultaneously. And CNTs served as microenvironments
250 to accelerate the growth of microbes. Not only the toxicity of hydrocarbons
251 decreased, but also the utilization of hydrocarbons by microbes was
252 promoted(Abbasian et al., 2016). The effects of CNTs on properties of microbes in
253 different environment are listed in Table 2.

254

255 **2.2 The toxicity mechanism of CNTs against microorganisms**

256 Cell viability and metabolic function of microorganisms which play a key role in

257 the biodegradation of contaminants are often influenced by CNTs. It is generally
258 resulted from the toxicity of CNTs to microbes. Over recent decades, a majority of
259 papers about the toxicity of CNTs have been published and various toxicity
260 mechanisms have been explored. However, the toxicity mechanisms in the current
261 studies are scarce and usually inconsistent. In the following section, some toxicity
262 mechanisms of CNTs to microorganisms will be discussed in detail.

263 The proposed toxicity mechanisms of CNTs are as follows: interrupting
264 transmembrane electron transfer, disrupting/penetrating the cell membrane and
265 oxidating cell components etc. Besides, the eukaryotic cells have other specific toxic
266 mechanisms such as mitochondrial dysfunction. Direct contact between CNT
267 aggregates and cells was observed by fluorescence-based images which could be the
268 primary cause of cell inactivation (Kang et al., 2007). Bacteria cells lost their cellular
269 integrity and the cell membrane was damaged after exposure to CNTs(Kang et al.,
270 2007). Cell membrane damage caused by physical puncture was believed to be the
271 main cause of the cell death other than inhibiting cell growth or oxidative stress (Liu
272 et al., 2009). However, another study showed that in two kinds of CNTs: SWCNTs
273 and MWCNTs, only the former exhibited antimicrobial activity while the other did
274 not exhibit such activity. Hence, in addition to the toxic mechanism of direct contacts
275 between cells and CNTs, the researchers proposed that there might be other factors
276 concerned to the antimicrobial activity (Arias and Yang, 2009).

277 Residual catalysts from the preparation of CNTs probably generate hydroxyl
278 radicals, which can reduce the cell viability and promote the intracellular reactive

279 oxidative species (ROS) (Chang et al., 2014; Esimbekova et al., 2017; Visalli et al.,
280 2017). However, extensively studies have shown that CNTs can be highly purified
281 and remove impurities (Zhu et al., 2016b). Therefore, residual catalysts may not be
282 the crucial reason of the toxicity of CNTs. As for ROS, they were considered to be
283 associated with oxidative damage. Metal nanomaterials and the released components
284 such as metal impurities and amorphous carbon can generate radicals, which belong
285 to ROS (Chang et al., 2014). Both of the formation of radicals such as superoxide
286 radical anions and hydroxyl radicals and the activation of oxidative ROS-related
287 enzymes and receptors can lead to oxidative stress (Chang et al., 2014; Fadeel, 2012).
288 Exceeding oxidative stress not only increase the concentration of cytosolic calcium
289 and change the location of transcription factors (e.g. NF- κ B) to the nucleus, but also
290 stimulate the oxidation of the double bonds on fatty acids of phospholipids in the cell
291 membrane. The peroxidized fatty acids can further produce free radicals,
292 subsequently oxidized subcellular components which can result in cell necrosis or
293 apoptosis in different degrees. In fact, the cells have a defense mechanism that can
294 resist the reactive oxygen species. The defense mechanism will be detailed in the
295 following sections. Quantities of ROS induced exceeding oxidative stress that may
296 result in an imbalance between oxidation and anti-oxidation processes. As a result,
297 the cell is dead due to exposing to CNTs. The activation of ROS-related enzymes
298 and receptors is another way to induce oxidative stress. It can also produce radicals
299 by changing the function of protein and chemical fragmentation (Riding et al., 2012).

300 As proved by Zhu et al., mitochondrial impairment might also result in apoptosis

301 (Zhu et al., 2016b). The apoptosis can be induced by some morphological changes of
302 mitochondria, such as mitochondrial fusion and cristae remodeling. Moreover, the
303 release of cytochrome from mitochondria and the reduction in mitochondrial
304 transmembrane potential (MTP) are two symbols of apoptotic process (Zhu et al.,
305 2016b). In addition, Chang et al. proposed an unusual nanotoxicological mechanism
306 about depleting nutrients (Chang et al., 2014). It was found that CNTs could deplete
307 amino acids and vitamins from cell culture medium. And CNTs induced toxicity via
308 this pathway could be mitigated by supplying additional folate (Klaine et al.).

309 Throughout the above, the toxicity mechanism of CNTs to microorganisms was
310 the joint effect of physical and chemical action. Many toxicity mechanisms might
311 play a role simultaneously or act in succession. Synergy and antagonism could also
312 occur (Pasquini et al., 2013). All sorts of reasons make it more complicated to
313 determine the true toxicity mechanism of CNTs. To better understand the existing
314 researches on the toxicity mechanism of CNTs, the schematic representation of the
315 toxicity mechanism was shown in Figure 1 (take eukaryocyte as an example).

316 **2.3 The protection and adaption mechanism of microorganisms to** 317 **CNTs**

318 Although CNTs may be toxic to microbes by the above mechanisms, microbes
319 which exposed to CNTs also have their own protection and adaptation mechanisms.
320 And the protection and adaptation mechanisms are shown in Figure 2. The
321 high-molecular weight compounds called as EPS (extracellular polymeric substance)
322 which from natural secretions of microorganisms cells, cell lysis, hydrolysis

323 productions of wastewater and etc.(Luongo and Zhang, 2010). When cells exposed
324 to CNTs, EPS can be attached to surface of cells and act as protective shield to
325 prevent CNTs from penetrating cells or resist ROS(Li et al., 2015; Rodrigues and
326 Elimelech, 2010b; Shi et al., 2017). Besides, CNTs can destabilized and penetrate
327 into bacterial membrane. It is an important mechanism resulted in the inactivation of
328 bacteria. However, there is an effective adaption mechanism which can increase the
329 tolerance of bacteria to CNTs. *Escherichia coli* and one kinds of polybrominated
330 diphenyl ether degrading strain called as *Ochrobactrum sp.*, showed the increased
331 level of saturated fatty acids and the reduced level of unsaturated fatty acids after
332 treated with 50 mg•L⁻¹ CNTs. The fatty acid profiles of *Staphylococcus aureus* and
333 *Bacillus subtilis* are composed of branched-chain fatty acids and saturated straight
334 chain fatty acids. By the treatment of 50 mg•L⁻¹ CNTs, the proportion of straight
335 chain fatty acids was reduced and branched-chain fatty acids increased. Through
336 such an adaptation mechanism by changing the composition of fatty acid, the
337 physical structure of membrane are maintained. The interaction degree between
338 CNTs and cells are reduced. Therefore, the function of bacterial membrane which
339 including controlling the movement of substances into or out of cells and
340 maintaining homeostasis was remained. (Zhu et al., 2014).

341 **2.4 Factors affecting the role of CNTs on microbial biodegradation**

342 The results of CNTs toxicity tests in previous studies were often not quite the
343 same. One explanation of this difference is that the cytotoxic effects of CNTs on
344 microbes are not a function of a single mechanism, but rather depend on a majority

345 of factors (Kang et al., 2008b; Simon et al., 2014). The physicochemical properties of
346 CNTs, as well as the organism itself and the medium environment may have varying
347 degrees of influence. Several factors were studied and discussed below (Table 3).

348 **2.4.1 Physicochemical properties of CNTs**

349 When conducting toxicity test, the size of CNTs is a factor that cannot be ignored
350 and plays an important role in the damage of bacteria cells. It was well documented
351 that the interaction of CNTs with living cells exhibited a size-dependency (Kang et
352 al., 2008a; Shrestha et al., 2013). At the same concentration, the reduction of
353 bacterial viability by MWNT₄₀₋₆₀ (diameter of 40-60 nm) was more serious than that
354 of MWNT₆₀₋₁₀₀ (diameter of 60-100 nm), which demonstrated the stronger cellular
355 toxicity of smaller-diameter MWCNTs (Yang et al., 2017). Bai et al (Bai et al., 2011).
356 found that SWCNTs could not only capture cells but also effectively killed cells
357 through physical puncture. However, MWCNTs had only the same effect as
358 SWCNTs on the capture of cells. The reason might be that MWCNTs had larger
359 diameter than SWCNTs. The similar conclusions were also found in other researches
360 (Amiri et al., 2016; Jia et al., 2005; Yang and Xing, 2010).

361 Compared with the size (diameter), there are few reports about the effect of length
362 on the toxicity of CNTs. Even though, the length of CNTs also matters. The results
363 of studies on the effects of length are clearly divided into two opposed groups. One
364 thought that short SWCNTs were more toxic to microorganisms (Klaine et al.), and
365 the other supported that longer SWCNTs exhibited stronger toxicity (Yang et al.,

366 2010). It was observed that shorter SWCNTs were prone to self-aggregate, while
367 longer SWCNTs tended to form aggregations with lots of bacterial cells(Yang et al.,
368 2010). Zhu et al. indicated that it was helpful for long SWCNTs with the highest
369 absolute electrophoretic mobility to contact with bacteria. Because longer SWCNTs
370 had better dispersion and stability. (Zhu et al., 2014). However, the long CNTs did
371 not always display higher toxicity than short CNTs. This shows that although the
372 length is related to the toxicity of CNTs, it is not the determining factor in
373 cytotoxicity (Kang et al., 2008b).

374 Several studies have shown that the toxicity of SWCNTs is different from that of
375 MWCNTs. To be exact, SWCNTs are more toxic than MWCNTs (Qu et al., 2016;
376 Yang et al., 2017). It is well known that the surface area of CNTs is an important
377 characteristic from a toxicological perspective (Kang et al., 2008a). Jin et al.
378 suggested that, although the concentration was approximately 5 times lower,
379 SWCNTs showed similar toxic effects to MWCNTs (Jin et al., 2013). This was
380 owing to the same concentration of CNTs, SWCNTs have a higher specific surface
381 area than the multi-walled one. Kang et al. found that most of the *E. coli* cells lost
382 their cell activity and cellular integrity when exposed to SWCNTs. Conversely,
383 MWCNTs had only a slight effect on cellular integrity(Kang et al., 2008a). The
384 stronger toxicity of SWCNTs might be due to the smaller diameter and the larger
385 surface area than MWCNTs.

386 The concentration/dose of CNTs applied to study is also a critical factor for
387 antimicrobial activity of nanostructures. In general way, when the dosage of CNTs

388 increased, the level of cytotoxicity increased correspondingly. In addition, no
389 significant toxicity can be observed for CNTs up to a certain value (Amiri et al.,
390 2016). Increasing the applied dose of CNTs would like to increase the surface area of
391 CNTs, some adverse effects on microorganisms were enhanced. The similarity
392 between the samples treated with different concentration of CNTs could indicate the
393 changes of bacterial community. The control and the CNTs-20 group ($20 \mu\text{g}\cdot\text{mL}^{-1}$ of
394 CNTs) had higher similarity than the CNTs-50 group and CNTs-200 group, which
395 showed higher effects on microbial community of high exposure level. Other studies
396 also confirmed low concentration of CNTs having no significant or minor effects on
397 microorganisms while high concentration of CNTs having greater impacts on
398 microorganisms (Hao et al., 2017; Khodakovskaya et al., 2013; Rodrigues et al.,
399 2013; Zhu et al., 2016b). It is noteworthy that some papers have shown that low
400 concentration of CNTs can improve the growth of microbes including functional
401 bacteria and biofilm formation in some cases which proved by previous section
402 (Rodrigues and Elimelech, 2010; Simonin and Richaume, 2015). Interestingly, this
403 kind of concentration-dependency was also reflected in the mineralization of
404 pollutants (Zhang et al., 2015; Zhou et al., 2013). Zhu et al. confirmed that the
405 reciprocal of BDE-47 (2,2,4,4-tetrabromodiphenyl ether) debromination ratio ($1/R$)
406 was proportional to the concentration of carbonaceous materials(black carbon, CNTs)
407 amended in sediments. And the reciprocal of the concentration of lower brominated
408 congeners ($1/C$) also increased with increased concentration of carbonaceous
409 materials.(Zhu et al., 2016a). Therefore, in order to mitigate adverse environmental

410 effects, it is necessary to determine the minimum concentration of CNTs exhibiting
411 toxicity.

412 Pristine CNTs without any hanging bonds make them chemically inert and
413 incompatible with nearly all solvents. The wide application of CNTs is limited
414 (Lanone et al., 2013). Therefore, the surface functionalization which attaches
415 different functional groups to CNTs is used to improve their solubility and dispersion,
416 allowing versatile applications (Su et al., 2015; Zhou et al., 2017). At the same time,
417 however, the toxicity of CNTs is also changed. There are two inconsistent tendencies
418 when CNTs are modified by surface functionalization. On the one hand, the
419 functionalization of CNTs may enhance the toxicity. At 200 $\mu\text{g}\cdot\text{mL}^{-1}$, CNTs-OH and
420 CNTs-COOH (CNTs functionalized with hydroxyl functional group, carboxyl
421 functional group) resulted in significant membrane damage while no significant
422 membrane damage can be found in which exposed to pristine form CNTs (Zhou et
423 al., 2017). The antifungal activity of MWCNTs-lysine and MWCNTs-arginine
424 against various fungi was multiplied up many times compared to that of pristine
425 MWCNTs (Zare-Zardini et al., 2013). Increased toxicity might be due to the
426 enhanced CNT hydrophilicity, the increased opportunity internalized by cells, and
427 the change of surface charge (Jiang et al., 2017; Zare-Zardini et al., 2013). On the
428 other hand, with the degree of sidewall functionalization enhanced, the toxicity of
429 SWCNTs decreased (Sayes et al., 2006). In a work of Chen and co-workers, the
430 functionalized CNTs were found to be nontoxic. However, unmodified CNTs
431 induced cell death (Chen et al., 2006). Chi et al. (Chi et al., 2016) found in both of

432 medium A and medium B (trace elements and vitamins of glucose minimal salt were
433 replaced by 0.25 g•L⁻¹ or 0.025 g•L⁻¹ yeast extract), the antibacterial activity of
434 A-MWCNTs (as-grown MWCNTs) was more significant than H-MWCNTs
435 (HNO₃-treated A-MWCNTs). It was observed by the loss of viability. Stronger
436 electrostatic repulsion effect may be responsible for the less loss of viability with
437 H-MWCNTs. Interestingly, Pasquini et al. (Pasquini et al., 2012) investigated nine
438 functionalized SWNTs (fSWNTs). Compared with the pristine SWCNTs, the percent
439 cell viability loss caused by these nine fSWNTs was either increased or decreased, or
440 similar to that of starting material. These nine functionalized SWCNTs had different
441 functional groups, which made them have varying physicochemical properties such
442 as molecular size, surface charge, element composition etc.. Therefore, it seems
443 plausible that adding different functional groups had different impacts on the toxicity
444 of CNTs. And it was claimed that the toxicity of SWCNTs can be indirectly changed
445 by functionalization with covalent surface functional groups and mechanical stirring.
446 The indirect effect is derived from the degree of dispersion (Pasquini et al., 2012).

447 Direct contact with bacteria by CNTs is an important mechanism contributing to
448 CNTs bacterial cytotoxicity. Therefore, increasing cell exposure by controlling the
449 physicochemical properties of CNTs may be one of the way to increase bacterial
450 cytotoxicity(Kang et al., 2008b; Pasquini et al., 2013). The factors such as the
451 exposed CNTs surface area, aggregation behavior, and solution chemistry can
452 mediate the extent of bacterial-CNTs contact (Vecitis et al., 2010). In general, the
453 highly dispersed CNTs have more accessible surface area. So it is helpful for CNTs

454 to contact with bacterial cells, increased interactions and high toxicity to bacterial
455 cells should be observed (Chi et al., 2016; Zhou et al., 2017) Similarly, Kang et al.
456 observed that uncapped, short and dispersed nanotubes showed high toxicity.(Kang
457 et al., 2008b) However, pristine MWCNTs at 200 $\mu\text{g}\cdot\text{ml}^{-1}$ with addition of BSA
458 (0.5% bovine serum albumin) did not increase cell viability. The result might be due
459 to that the so dispersed MWCNTs cannot be further dispersed by additional BSA.
460 The agglomeration state of CNTs can mediate their size distribution, available
461 specific area, and their surface reactivity which relevant to the toxicity of
462 nanoparticles. Now, diverse types of methods (sonication, detergents, surfactants,
463 polyethylene glycol, serum, etc.) can be used to deagglomerate nanoparticles (Bai et
464 al., 2011; Dhawan and Sharma, 2010). Bai et al. (Bai et al., 2011) used three
465 different surfactants to disperse MWCNTs and examined the antibacterial activity of
466 aqueous dispersion. The results suggested that the toxicity of MWCNTs dispersed by
467 CTAB (hexadecyltrimethylammonium bromide) was stronger than that of MWCNTs
468 dispersed by SDS (sodium dodecyl sulfate) and TX-100(triton X-100). That might be
469 due to the antibacterial activities of surfactants themselves, and CTAB solution had
470 the strongest antibacterial (Liu et al., 2012a).

471 **2.4.2 Effects of microbes**

472 It was speculated that both of the physicochemical properties of CNTs and
473 bacteria corresponding to the viability of bacteria in the presence of CNTs (Zhu et al.,
474 2014). The membrane structure of gram-positive bacteria and gram-negative bacteria

475 is different. The gram-negative bacteria have an outer membrane composed of the
476 porin and lipopolysaccharide molecules, and the gram-positive bacteria have no such
477 outer membrane. Yang et al. suggested that the inactivation of gram-positive *B.*
478 *subtilis* was stronger than that of gram-negative *E. coli* (Yang et al., 2017). However,
479 bacterial inactivation does not always follow this pattern. Arias and Yang found the
480 differences in the structure and shape of gram-positive bacteria and gram-negative
481 bacteria not affecting the antimicrobial efficacy of SWCNTs. Moreover, the charge
482 effect between the SWCNTs and the cell walls surface might not play vital roles in
483 controlling the toxicity of SWNTs to cells (Arias and Yang, 2009; Liu et al., 2011).
484 Though electrostatic repulsion at the interface between the MWCNTs and the
485 bacteria could partially reduce toxicity. In addition, microbial tolerance toward CNTs
486 could also lead to different reactions to the antimicrobial activity of CNTs. As
487 demonstrated by some researchers, *Trabusiella guamensis* could adapt and tolerate
488 carbon nanomaterials. Thus, the bacteria could survive in a goldsmith site
489 contaminated with nanomaterials. Moreover, *Trabusiella guamensis* was observed
490 transforming MWCNTs through the oxidation process (Chouhan et al., 2016).

491 **2.4.3 The role of environment matrix**

492 In the natural environment, the toxicity of CNTs are closely related to
493 environmental parameters, including solution type, pH and organic matter content
494 (Lawrence et al., 2016a; Lawrence et al., 2016b). Researchers investigated the
495 antimicrobial activity of SWCNTs with different surface groups (SWNTs-OH,

496 SWNTs-COOH and SWNTs-NH₂) to bacteria in different buffers (DI water, 0.9%
497 NaCl, 0.1M PBS, and BHI broth). In the presence of 100 µg•mL⁻¹ SWNTs-OH and
498 SWNTs-COOH, *Salmonella* cells incubated with DI water delayed their growth time
499 for about 1.5 h, while at the same concentration of SWNTs-NH₂, cells in DI water
500 grew at a similar rate as the control sample. As a contrast, when the buffer was
501 replaced by 0.9% NaCl, *Salmonella* cells treated with SWNTs-OH and
502 SWNTs-COOH showed no growth in 7 h, while the control sample and the cells
503 treated with SWNTs-NH₂ started grow 4 h earlier. Moreover, SWNTs-OH and
504 SWNTs-COOH exhibited extremely strong antimicrobial activity to both
505 gram-positive and gram-negative bacterial cells in DI water and 0.9% NaCl solution
506 regardless of cell shape, but no antimicrobial activity could be observed in PBS
507 buffer and brain heart infusion broth. It was noteworthy that the pH of these four
508 buffers was approximately the same, whereas these buffers had different ionic
509 strengths. Therefore, the pH did not work here. The ionic strengths might account for
510 different results (Arias and Yang, 2009). Interestingly, in an experiment with four
511 CNTs which had different metal species and metal contents, the pH dependence of
512 the radical generation was observed by ESR (Electron spin resonance) spectroscopy
513 in conjunction with a spin-trapping technique. The results suggested that lower pH
514 resulted in stronger ESR signal. Very weak signals could be observed in a neutral
515 environment. This kind of pH dependence might be interpreted by the low solubility
516 of metal ions and poor leaching of metals from CNTs at high pH (Ge et al., 2012).

517 Apart from that, natural organic matter (NOM) as ubiquitous component of

518 aquatic systems or soil might have a protective effect. These organic matter
519 compounds might be adsorbed to the surfaces of CNTs and thus affect their surface
520 speciation and charge (Amiri et al., 2016). Furthermore, NOM could exert
521 electrostatic hindrance to minimize direct contact between CNTs and bacteria. Then,
522 the toxicity decreased(Chen et al., 2011a). When CNTs were added to two different
523 soil, the basal respiration which reflects intrinsic soil microbial activities was
524 typically much higher in Drummer soil with higher organic content than in Tracy soil
525 (Tong et al., 2012). The coating of humic acid (HA) could mitigate the toxicity of
526 MWCNTs by increasing steric and electrostatic repulsive forces (Chi et al., 2016).
527 Lawrence et al. similarly reported that CNTs coating with biomacromolecules such
528 as protein and polysaccharide had lower toxicity. These biomacromolecules reduced
529 the production of ROS and thus resulted in a reduction of CNTs toxicity to
530 bacteria(Lawrence et al., 2016a).

531 **2.4.4 Other factors**

532 Except for the factors mentioned above, there are many other factors that work in
533 the antimicrobial activity of CNTs. On the one hand, prolonged exposure time might
534 increase the toxicity of CNTs (Kang et al., 2009). On the other hand, the toxic effects
535 of the first exposure to CNTs would disappear when the contact period increased
536 (Shrestha et al., 2013). Anyhow, there is no doubt that various factors such as
537 properties of CNTs and microbes, ambient environment and operating conditions
538 might affect the antimicrobial activity of CNTs. Therefore, before the toxicity test of

539 CNTs, it is crucial to purify and characterize them (Liu et al., 2009). More extensive
540 characterization should include the descriptions of physicochemical properties such
541 as size, shape, solubility, agglomeration, elemental purity, surface area and so on,
542 while incomplete characterization can lead to the difficult in comparison with other
543 research results. And it can further lead to the failure to draw a definitive conclusion
544 about the effect of a factor on the antimicrobial activity (Dhawan and Sharma, 2010).

545 **3 Effects of CNTs on the activity of enzymes**

546 Microbial functions are closely associated with their enzymes. Degradation of
547 pollutants, reproduction, development, nutrient uptake and growth require the
548 participation of various enzymes. For instance, LiP (lignin peroxidase) is one of the
549 ligninolytic enzyme which can metabolize several pollutants (Chen et al., 2017).
550 Many microbes are able to secrete this kind of enzyme (Asgher et al., 2012).
551 However, it was found that biodegradation activity might be influenced by the
552 interaction between CNTs and degradation enzymes (Liu et al., 2018a; Liu et al.,
553 2018b; Zhang et al., 2015). It was found that with the treatment of SWCNTs or
554 MWCNTs, the activity of catalase directly relevant to the degradation of perhydrol
555 was stimulated in the first three days. However, there was a decrease of catalase
556 activity from the seventh day and kept stable on the fourteenth day compared to
557 those under control (He et al., 2015). The SWCNTs-OH inhibited the utilization of
558 glucose and the activities of three kinases (i.e., hexokinase (HK), 6-phosphofructose
559 kinase (PFK), and pyruvate kinase (PK)) which played essential roles in glycolysis
560 process. By the inhibition towards nitrate reductase (NAR), the reduction of nitrate

561 was hindered by the SWCNTs-OH amendment (Su et al., 2015). However, CNTs did
562 not always show adverse effects on enzymes. Jin et al. depicted that 1000 mg•g⁻¹ soil
563 of SWCNTs in powder form can reduce the activities of most soil enzymes whereas
564 the activity of L-leucine aminopeptidase was increased compared to the control(Jin
565 et al., 2013). Hai et al. (Hai et al., 2014) confirmed that two key enzymes
566 participating in the process of nitrification were significantly repressed by long-time
567 exposure to 20 mg•L⁻¹ MWCNTs. The activity of two enzymes were also decreased
568 which relevant to phosphorus removal. On the other hand, no influence of long-time
569 exposure to 1 or 20 mg•L⁻¹ MWCNTs on the activity of NAR and nitrite reductase
570 (NIR) can be observed. Furthermore, Ren et al. (Qu et al., 2016; Ren et al., 2012)
571 revealed that the activity of horseradish peroxidase (HRP) in oxidizing the reducing
572 substrates could be enhanced in the presence of unmodified and carboxylated
573 SWCNTs. This positive effect might be associated with increased enzymatic
574 oxidation activity to substrate. In fact, similar to the effects on microorganisms, the
575 different effects of CNTs on enzymes are not only related to the type of enzyme, but
576 also to the type and concentration of CNTs. In addition, CNTs can disturb the
577 enzymatic catalytic oxidation to substrate by different mechanisms(Figure 3). One is
578 related to the inaction of enzymes by changing enzymatic conformations (Liu et al.,
579 2012b). There were some papers that showed other mechanisms. For instance, there
580 were four functionalized MWNTs that site-specifically bind to the catalytic site of
581 α -chymotrypsin (ChT) and competitively inhibited enzymatic function (Zhang et al.,
582 2009). Some previous studies proposed that the barrier effect of polyesters degraded

583 by enzyme mainly due to the lower available surface caused by nanofillers (Bikiaris,
584 2013). Similarly, SWCNTs influenced the binding stability and binding affinity
585 between corresponding enzymes and their substrates. It was due to the changes of
586 binding energy, water molecular behavior and interaction between enzyme and
587 substrate. Therefore, the microbial enzyme-catalyzed oxidation processes was
588 influenced (Chen et al., 2016). Furthermore, Chen et al. (Ming et al., 2017) indicated
589 that graphene (GRA), SWCNT or SWCNT+GRA had a tendency to decrease the
590 overall bind stability between manganese peroxidase (MnP) and its substrates though
591 the SWCNTs had little impact on the binding energy.

592 Overall, assessing soil enzyme activities can not only provide information about
593 changes in soil organic matter dynamics but also figure out the nutrient cycling in
594 the presence of contaminants such as CNTs (Shrestha et al., 2013). It must be
595 pointed out that special degradation enzymes could only be produced by certain
596 microorganisms, therefore, the changes in enzyme activity could reflect changes in
597 the activity of certain microbial communities. In other words, CNTs might affect the
598 active microorganisms, thus affecting the activity of enzymes (Jin et al., 2013).

599 **4 Effects of CNTs on contaminants bioavailability**

600 Except for impacts on microorganisms and enzymes, CNTs can affect the
601 biodegradation of pollutants by effects on bioavailability. In fact, a research found
602 that it was not the inhibition of microbial activity but rather limited bioavailability of
603 contaminants reducing the biodegradation(Xia et al., 2010; Zhou et al., 2013). Xia et
604 al. (Xia et al., 2013) found that the density of bacteria showed a significant positive

605 relationship with the mineralization efficiencies after incubation for 35 d. However,
606 there was no significant increase of mineralization efficiencies after the addition of 5
607 ml cell suspension containing approximately 10^8 cells. Therefore, Xia et al.
608 concluded that limited biodegradation might be due to the reduced phenanthrene
609 which can be available to degrader (Xia et al., 2013). Marchal et al. similarly showed
610 that low mineralization rate was resulted from limited PAHs that can be available.
611 And inhibition of bacterial activity was not the primary reason(Marchal et al., 2013).
612 As CNTs have highly hydrophobic surface, they exhibit strong sorption affinity for a
613 wide range of organic compounds such as HOCs and PAHs(Chen et al., 2011b;
614 Linard et al., 2015; Zhang et al., 2016).It is clearly that the introduction of CNTs into
615 environment would alter the transport, bioaccumulation, toxicity and bioavailability
616 of pollutants(Kah et al., 2017; Li et al., 2013). Bioavailable organic compounds were
617 the compound having the potential to access to organisms or the fraction which
618 could desorb from solids to the aqueous phase at equilibrium (Lydy et al., 2015).
619 Through the adsorption on CNTs, the organic pollutants in aqueous phases as well as
620 the fraction in the rapidly desorbing can be reduced. The bioavailability of organic
621 compounds is reduced, correspondingly (Ren et al., 2018b; Semple et al., 2007).
622 When MWCNTs addition with fluoranthene, the response of *Pimephales promelas*
623 was different from those groups without MWCNTs. Around 60%-90% of
624 fluoranthene was adsorbed on MWCNTs. It indicated that MWCNTs reduced the
625 bioavailability of fluoranthene by adsorption(Linard et al., 2015).Cui et al. showed
626 that both of SWCNTs and black carbon reduced the bioavailability of phenanthrene

627 in sediment. And the mineralization of phenanthrene was inhibited due to reduced
628 freely dissolved concentration of phenanthrene(Cui et al., 2011).Xia et al. similarly
629 found that MWCNTs had negative effects on the bioavailability of phenanthrene to
630 *Agrobacterium*(Xia et al., 2010).However, Vithanage et al. examined the remediation
631 effects of CNTs and biochar on shooting range soils. They found that CNTs and
632 biochar were effective in immobilizing Pb and Cu, but both of them increased the
633 bioavailability of Sb(Vithanage et al., 2017).Generally, microorganisms can only
634 utilize the compound that can be desorbed or freely dissolved fraction. Sometimes,
635 microorganisms can also utilize a part of adsorbed compounds by attachment or
636 formation of biofilm on CNTs. This undoubtedly leads to the degradation possibility
637 of adsorbed pollutants. In this case, the biodegradation of pollutants may not be
638 significantly affected(Ren et al., 2018a; Xia et al., 2013).

639 The bioavailability of pollutants are related to their sorption-desorption behavior.
640 Organic matter, properties of CNTs and properties of pollutants can affect the
641 sorption-desorption behavior of pollutants. Some factors affecting the sorption on
642 CNTs were showed in Figure 4. These factors may affect the bioavailability of
643 pollutants and result in effects on biodegradation(Kookana, 2010). In soil system,
644 many organic components are correlated with the sorption-desorption behavior of
645 pollutants, such as humic acid, soot and char(Li et al., 2013). Natural organic
646 matter(NOM) can change the suspension state of CNTs. 5 mg•L⁻¹NOM resulted in
647 higher adsorption capacity of fluoranthene compared to addition of 10 mg•L⁻¹ NOM.
648 At low concentration range, NOM can improve the dispersion of MWCNTs and

649 increase the available sorption sites. Therefore, low concentration of NOM improved
650 adsorption of fluoranthene by MWCNTs. However, NOM molecules and fluoranthene
651 may compete for sorption sites on MWCNTs. Thus, some sorption sites on
652 MWCNTs were blocked by NOM and the sorption of fluoranthene was inhibited.
653 NOM alleviated negative effects of CNTs on the bioavailability of pollutants.
654 Furthermore, NOM can introduce some polar functional groups to the surface of
655 SWCNTs, thereby reduced the sorption of phenanthrene on SWCNTs. Some
656 researches showed that NOM may not only affect adsorption, but also have effects
657 on desorption process. In the presence of NOM, PAHs were entrapped in nanopores
658 or partition into NOM complexes. Adsorption of PAHs on silica particles was
659 irreversible(Cui et al., 2011; Linard et al., 2015).Carbonaceous materials have two
660 possible types of sorption sites: external surface and pores inside. CNTs with larger
661 specific surface area and higher porosity have higher adsorption strength to
662 pollutants. Correspondingly, the bioavailability of pollutants decreases and their
663 biodegradation is inhibited(Xia et al., 2010). Furthermore, different sorption site
664 would lead to different desorption rate. When adsorbed on the surface and
665 macropores, phenanthrene can be desorbed from MWCNTs. When adsorbed on the
666 nanopores (mesopores and micropores),the desorption process was very slowly. And
667 phenanthrene may be entrapped in micropores due to the interaction between
668 phenanthrene and CNTs. As a result, increasing mesopore and micropore volume of
669 CNTs resulted in less mineralization of pollutants (Xia et al., 2010; Xia et al., 2013).
670 However, most studies showed that CNT porosity could not be applied to explain

671 adsorption completely (Pan and Xing, 2008). Adsorption can be affected by other
672 CNT properties, such as surface function. It seems that the possible solute-sorbent
673 interactions including: (a) hydrophobic interaction, (b) electrostatic
674 attraction/repulsion, (c) hydrogen bond, and (d) π - π bonds (Pan and Xing, 2008;
675 Suresh et al., 2012). Therefore, the addition of oxygen containing groups like
676 -COOH to SWCNT makes it more hydrophilic, combined with the competitive
677 effect of water molecules, resulting in less adsorption of biphenyl than pristine
678 SWCNT (Qu et al., 2016). The reduction of adsorption capacity of resorcinol by
679 acid-treated MWCNTs compared to untreated MWCNTs was due to the increase of
680 electrostatic repulsion between solute and CNTs (Qiu et al., 2008). Since
681 hydrophobic interactions are the main force, PAH with higher hydrophobicity (Kow)
682 was more easily adsorbed on MWCNTs(Li et al., 2013). Besides, reducing the
683 bioavailability of organic pollutants by CNTs have two-sided effects. On the one
684 hand, reducing the bioavailability of pollutants leads to fewer parts that can be
685 obtained by organisms, thereby alleviating the environmental risk of toxicants. On
686 the other hand, the reduction of available pollutants also reduced the microbial
687 degradation. Reducing biodegradation may increase the persistence of pollutants in
688 the environment and allowing pollutants to persist for longer time(Zhou et al., 2013;
689 Zhu et al., 2016a). The worst case scenario is that CNTs may serve as the collector
690 and facilitate the transport of organic contaminants (Pan and Xing, 2008; Riding et
691 al., 2015).

692

693 **5 Perspective and Conclusion**

694 Biodegradation is an important process of removal of pollutants in natural
695 environment. It is closely related to the activity of microorganisms and enzymes.
696 Except for some known environmental conditions, some exogenous chemicals can
697 also increase or decrease biodegradation of contaminants. In the past five years,
698 some papers have reported the effects of various chemicals on biodegradation.
699 Among them, researches on the effects of carbonaceous materials on biodegradation
700 is dominant. CNTs, commonly used as amendments or accidentally entering the
701 environment, might also increase/decrease biodegradation (Figure 5). It depends on
702 the concentration and properties of CNTs, physicochemical properties of
703 microorganisms and pollutants, environmental condition. This made it more
704 complicated to assess the effects of CNTs on biodegradation. The main mechanism
705 by which CNTs affect biodegradation has not been identified. Some studies
706 suggested that limited microbial activity leads to decreased biodegradation, while
707 others suggested that reduced pollutants availability to microorganisms leads to
708 decreased biodegradation. However, we can still draw some conclusions from
709 current studies and propose some further research interests:

710 (1) CNTs inhibit microbial growth through a variety of toxic mechanisms. And
711 microorganisms also have adaptive and protective mechanisms against such
712 adverse effects. Various factors regulate the interaction between CNTs and
713 microorganisms. However, many current studies were conducted in a model
714 system with relatively high concentration of CNTs, which cannot fully reflect

715 effects of CNTs in the actual environment. Except for effects on microbial
716 activity, CNTs may affect the expression of microbial degradation genes.
717 Whether CNTs have other mechanisms by which affect microbial degradation
718 is not clear. Future studies need to be conducted in CNTs and pollutants
719 co-exist sites and explore the detailed mechanisms by which CNTs affect
720 biodegradation.

721 (2) There are some papers suggested that CNTs have an accelerating effect to the
722 activity of redox reaction by enzyme due to following reasons: CNTs bind to
723 the enzyme's activity center and participated in electron transfer process
724 between substrate and enzyme. Thus, the activity of enzyme in oxidizing the
725 reducing substrates are increased. However, some papers have completely
726 different findings. It was suggested that CNTs inhibited the enzymatic
727 oxidation of substrates by effects on the contact between enzyme and
728 substrate.

729 (3) The effects of CNTs on biodegradation also related to the adsorption and
730 desorption behavior of pollutants. By adsorption on CNTs, the availability of
731 pollutants to functional microorganisms decreased. Accordingly, the
732 biodegradation of pollutants decreased. Therefore, when CNTs are used as
733 amendment in soil remediation, on the one hand, they can reduce the toxicity
734 of pollutants. But on the other hand, CNTs may act as collectors and
735 transporters of pollutants, leading to increased persistence of pollutants. So,
736 more data need to reveal the effects of CNTs on biodegradation and

737 persistence of pollutants, especially those with high sorption strength to CNTs.

738 It is beneficial to assess ecological risks of CNTs entering the environment.

739

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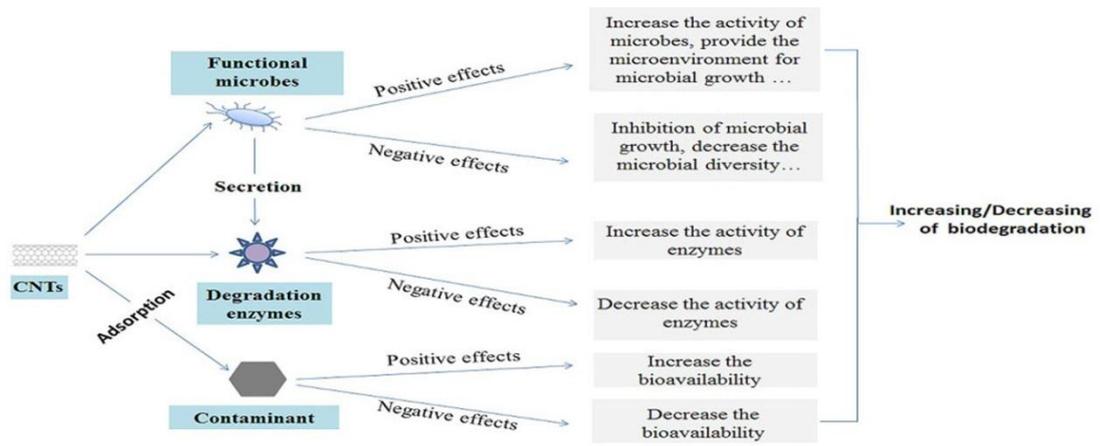
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Graphical abstract:



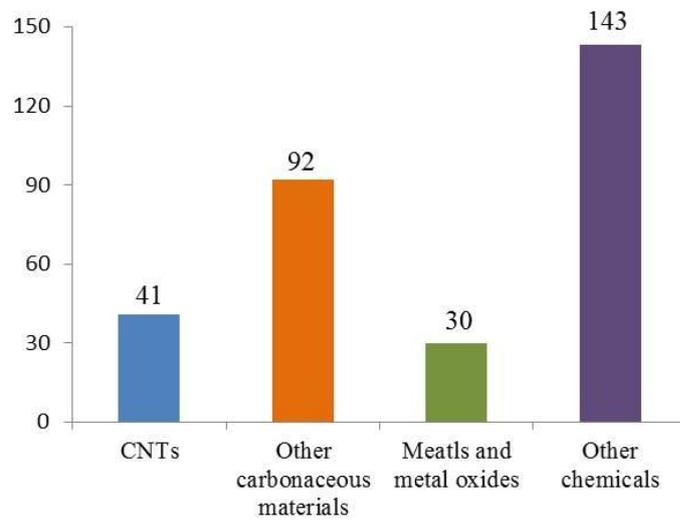


Figure 1. Publication about biodegradation affected by various chemicals in past five years (2015-2019) (Source: Web of Science)

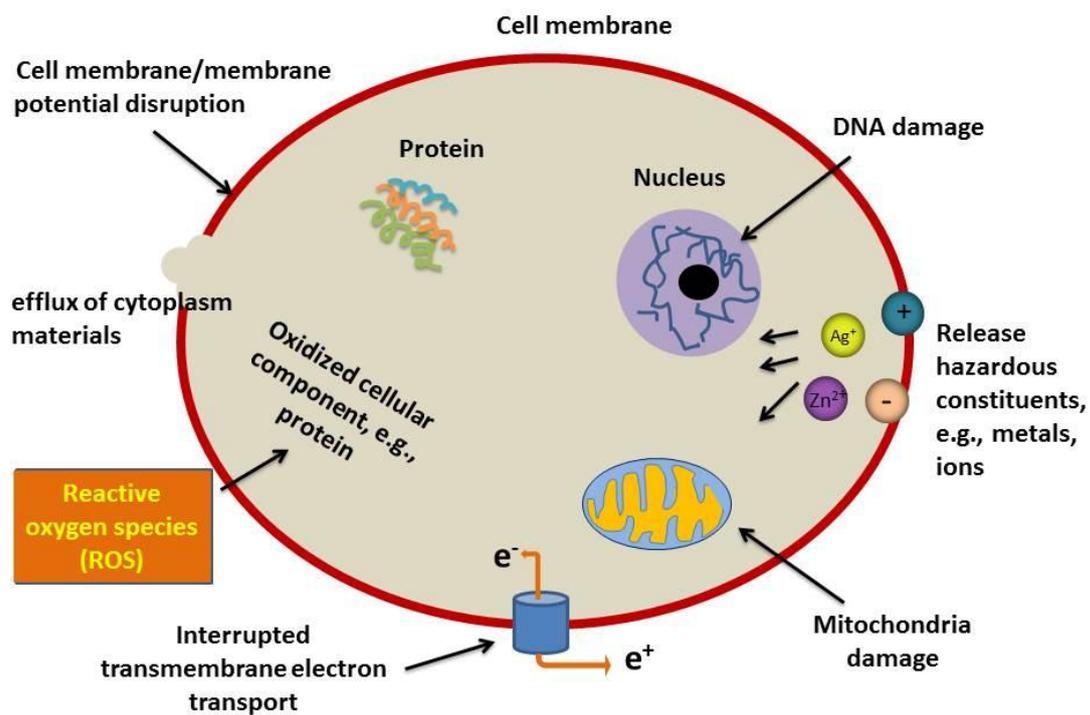


Figure 2. Different toxicity mechanisms to eukaryotic cells of CNTs

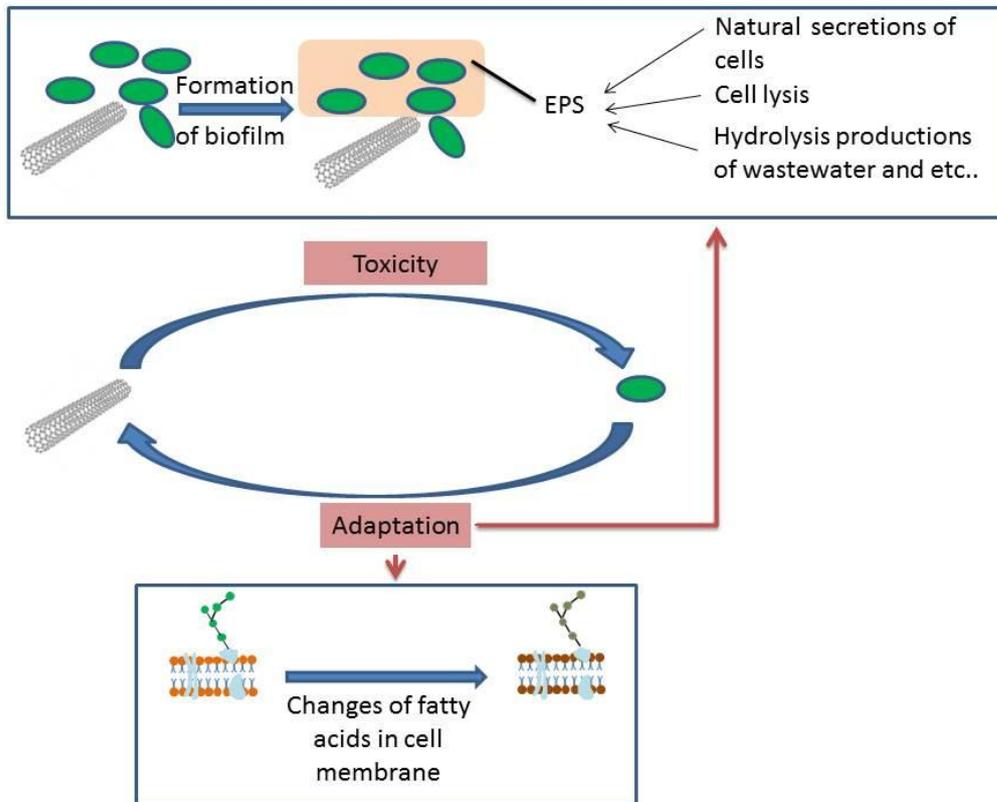


Figure 3. The protection and adaptation mechanisms of microorganisms to CNTs

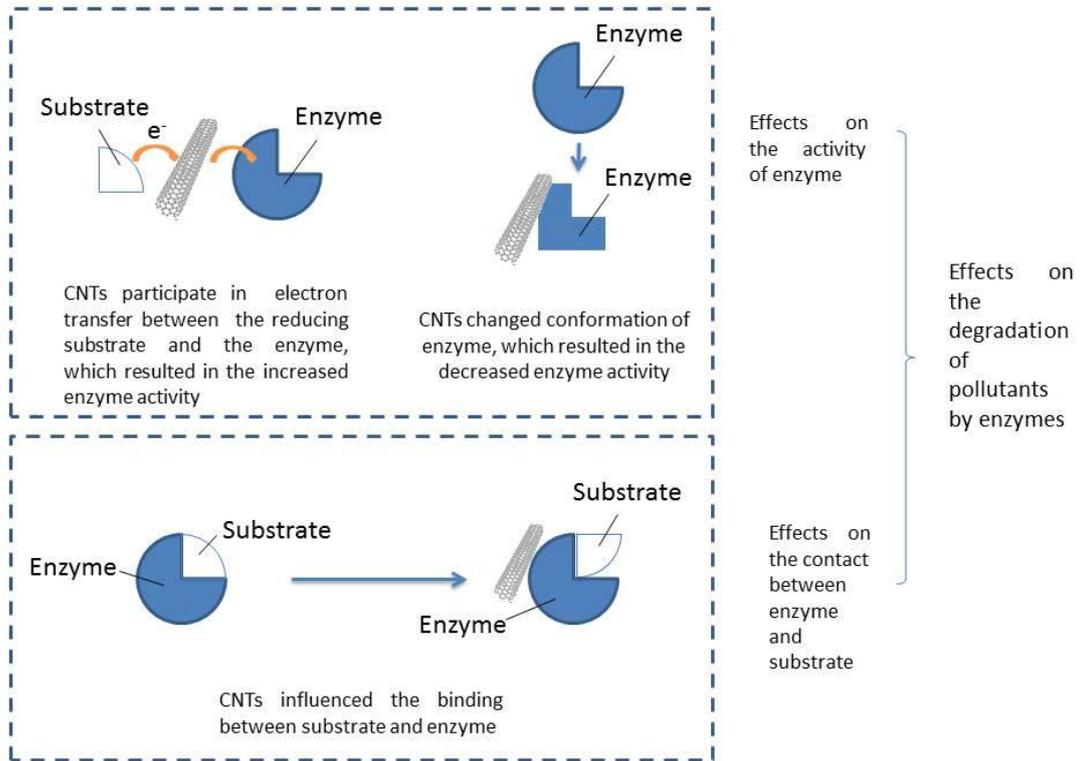


Figure 4. Mechanisms of CNTs affecting the biodegradation by enzyme

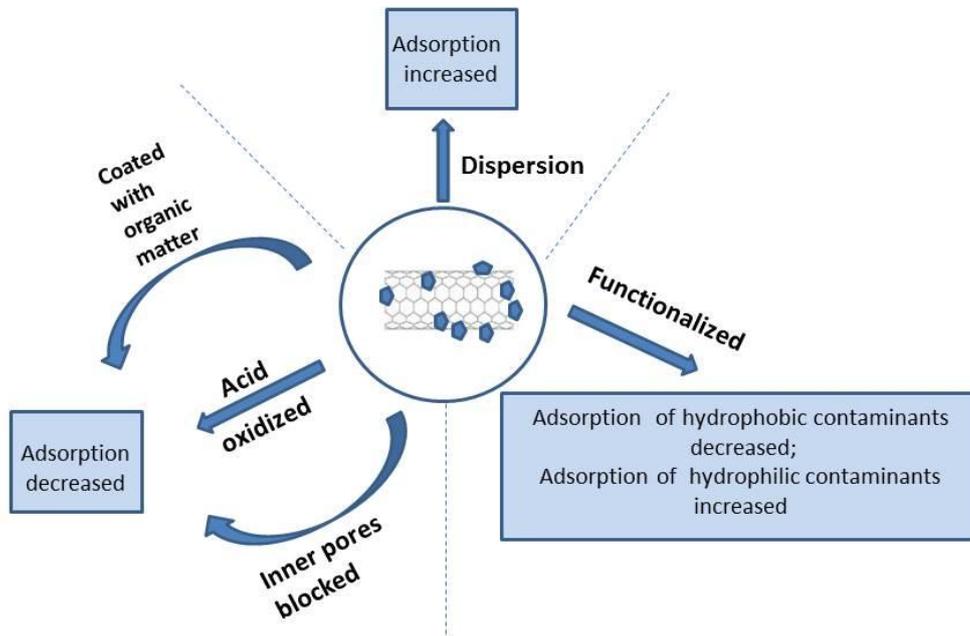


Figure 5. Factors affecting the sorption of pollutants on CNTs

Table 1

Effects of CNTs on the biodegradation of pollutants.

CNTs	Physicochemical properties of CNTs	Applied dosage	Incubation time	Influence	Biodegradation efficiency	Functional paths	Microbes/Enzymes	Substrate	Ref
MWCNTs	Outer diameter:10-20nm Inner diameter:5-10nm Length:10-30nm	0, 2,20, and 2000 mg•kg ⁻¹	90d	No significant effects in low concentration, decreasing degradation in high concentration.	2000 mg•kg ⁻¹ MWCNTs:26.4 %	By reducing activity of microorganisms and decreasing bioavailability of pollutants.	Soil microorganisms	2,4-dichlorophenol	(Zhou, Shan et al. 2013)
SWCNTs	Outer diameter: < 2nm Inner diameter:0.8-1.6nm Length:10-30nm:5-15µm								
MWCNTs	BET surface area ^a :88m ² •g ⁻¹ Meso-pore volume:0.200cm ³ •g ⁻¹ Micro-pore volume:0.001cm ³ •g ⁻¹	mass ratio of MWCNTs to mineral particles was 5:95	28d	Lowering the biodegradation efficiency.	54.2 ± 6.3%	By reducing bioavailability of pollutants.	Agrobacterium	phenanthrene	(Xia, Li et al. 2010)
SWCNTs	Outer diameter: 1.2-1.5nm Length:10-30nm:2-5nm	0,0.05,0.1 and 0.5%	80d	Decreasing mineralzation in CNTs-amended soil.	0.5%SWCNTs: 14.4±0.6% 0.5%MWCNTs: 38.3±0.6%	By reducing extractability and bioaccessibility. And SWCNTs resulted in lower degradation efficiency.	Soil microorganisms	Phenanthrene, benzo-[a] pyrene	(Towell, Browne et al. 2011)
MWCNTs	Outer diameter: 10-15nm Inner diameter:2-6nm Length:10-30nm:0.1-10nm								

CNTs	Physicochemical properties of CNTs	Applied dosage	Incubation time	Influence	Biodegradation efficiency	Functional paths	Microbes/Enzymes	Substrate	Ref
	m ² •g ⁻¹ Pore volume: 0.247cm ³ •g ⁻¹ Mean pore diameter:17.1nm			larger surface area of carbon nanotubes resulted in the stronger inhibition of debromination.					

^b Means surface areas by nitrogen adsorption using the Brunauer Emmett Teller (BET)method.

Table2

The effects of CNTs on microorganisms.

Environment matrix	CNTs	Physicochemical properties of CNTs	Applied dosage	Toxic effects	Ref
Soil	SWCNTs			SWNTs first decreased the biomass carbon and the highest concentration of SWNTs produced a significant positive effect on biomass carbon; Negative effects on the abundance of ammonium oxidizing microbes; Some species disappeared while some species emerged.	(Chen, Wang et al. 2015)
		Outer diameter: <2nm	100, 200, 500 $\mu\text{g}\cdot\text{g}^{-1}$ soil	Reduction on biomass carbon with the increasing concentrations of MWNTs. Negative effects on abundance. Modification of community structure after the experiment.	
	MWCNTs	Length:10–20 μm Diameter:15.1 \pm 1.2nm	50, 500, 5000 $\text{mg}\cdot\text{g}^{-1}$ soil	Decrease of soil microbial biomass at the high MWCNTs concentration.	(Chung, Son et al. 2011)

Environment matrix	CNTs	Physicochemical properties of CNTs	Applied dosage	Toxic effects	Ref
	SWCNTs	Average length :1.02 µm Average diameter: 1.0 nm	0,30,100,300,600,1000µg• g ⁻¹ soil	Biomass C and N decreased with higher concentration of SWCNTs. Larger effect of powder form SWCNTs than suspended form SWCNTs.	(Jin, Son et al. 2013)
	SWCNTs	Average length :1.02 µm Average diameter: 1.0 nm	0.03 ,0.1,0.3,0.6, 1 mg• g ⁻¹ soil	Negative relationship between SWCNTs concentration and biomass. The relative abundance of total bacteria was positively related with SWCNT concentration. Changes in microbial community composition can be found.	(Jin, Son et al. 2014)
	MWCNTs	Inner diameter:10 nm Outer diameter:25 nm	50,200µg•mL ⁻¹	No negative effect of MWCNTs on bacterial diversity, but a significant modification of the bacterial community composition was observed. Decreased relative abundance on some genera like Proteobacteria and Verrucomicorbia and increased abundance of Bacteroidetes and Firmicutes.	(Khodakovskaya, Kim et al. 2013)
Aqueous medium	SWCNTs	Length: 5–15 µm Diameter: <2 nm	0.5,1,1.5,2,5,10,20mg•L ⁻¹	Bacterial cell viability loss. Toxicity was as follows:	(Qu, Wang et al. 2016)

Environment matrix	CNTs	Physicochemical properties of CNTs	Applied dosage	Toxic effects	Ref
	MWCNTs	Length: 5–15 μm Diameter: <10 nm	0.5,1,1.5,2,5,10,20 $\text{mg}\cdot\text{L}^{-1}$	MWCNTs>SWCNT-COOHs>SWCNTs.	
	SWCNT-COOHs	Length: 30 μm Diameter: <2 nm	0.5,1,1.5,2,5,10,20 $\text{mg}\cdot\text{L}^{-1}$		
	MWNTs	Length: 1.0–2.0 μm Diameter: 10-20nm	5,25,100 $\text{mg}\cdot\text{L}^{-1}$	No effects of 25 mg/L CNTs on bacterial growth. Reduction on biomass with 25 mg/L or 100 mg/L CNTs .	(Zhang, Li et al. 2015)
Activated sludge	SWCNTs	Average outside diameter :1–2nm Length:5–15 μm	219 $\text{mg}\cdot\text{L}^{-1}$	SWCNTs changed microbial community structure in activated sludge batch reactors through toxicity to some community members.	(Goyal, Zhang et al. 2010)

Table 3
Determinants of CNTs toxicity

Factors type	Impact factors	Effects on CNTs toxicity	References
CNTs	Diameter	SWCNTs with smaller diameter exhibited stronger antimicrobial activity than larger-diameter SWCNTs and MWCNTs	(Klaine, Alvarez et al. 2008)
	Length	Different lengths of SWCNTs(1, 1-5, and ~5 µm)at same weight concentrations, the higher-length SWCNTs have stronger toxicity	(Yang, Mamouni et al. 2010)
	Surface area	SWCNTs had larger specific surface area leading to stronger toxicity than MWCNTs.	(Kang, Herzberg et al. 2008)
	Concentration	A dose-dependency effect on soil microbial activity was observed with SWCNTs. The higher concentration of SWCNTs, the greater impact on microbial community.	(Rodrigues, Jaisi et al. 2013)
	Electronic structure	The toxicity of three different electronically metallic (>95%M), semiconducting (<5%M), and mixed (~ 30%M) SWCNTs were investigated. Both SWNT toxicity assay (suspended toxicity assay and filter toxicity assay) showed that the metallic nanotubes had the strongest toxicity.	(Vecitis, Zodrow et al. 2010)
	Surface defects	The adhesion of MWCNTs on the cell membrane was influenced by the extent of surface defects including incomplete bonds, surface functionalities, sp ³ hybridized carbon atoms and ring shapes other than hexagon	(Jiang, Wang et al. 2017) (Charlier 2002)
	Dispersion/aggregation state	Better dispersion of functionalized MWCNTs increased the interaction with cells and therefore increased the toxicity.	(Zhou, Forman et al. 2017)

Factors type	Impact factors	Effects on CNTs toxicity	References
Environmental condition	Natural organic matter	Due to the existence of humic acid, the toxicity effects of both as-grown MWCNTs (A-MWCNTs) and HNO ₃ -treated A-MWCNTs (H-MWCNTs) were reduced.	(Chi, Wu et al. 2016)
	Solution type	When using different media, (deionized water, NaCl, PBS buffer, and brain-heart infusion broth) SWCNTs exhibited highest antimicrobial activity in the deionized water and NaCl, no antimicrobial activities can be observed in PBS buffer and brain-heart infusion broth.	(Bradyestévez, Schnoor et al. 2010)
	Bacterial type	The toxicity of MWCNTs on gram-positive bacteria (<i>B. subtilis</i>) was stronger than that of gram-negative bacteria (<i>E. coli</i>) with an outer membrane.	(Yang, Jiang et al. 2017)
Others	Incubation time	The antimicrobial activity increased with the increase of time.	(Amiri, Zare-Zardini et al. 2016)

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